



**GRANT APPLICATION FORM**  
*for investment grants (INV GAF)*

**IDENTITY OF THE PROJECT**

<b>1</b>	<b>Blending facility</b>	WBIF	<b>2</b>	<b>Grant code</b>		
<b>3</b>	<b>WBIF approval date</b>		<b>4</b>	<b>Flagship</b>	<i>[select flagship]</i>	
<b>5</b>	<b>Sector</b>	<i>[select sector]</i>	<b>6</b>	<b>CRS code</b>		
<b>7</b>	<b>Beneficiary country</b>	<i>[select name]</i>				
<b>8</b>	<b>Project title</b>		<b>9</b>	<b>Project code</b>		
<b>10</b>	<b>Lead Financial Institution</b>	<i>[select name]</i>				
<b>11</b>	<b>Co-financier(s)</b>	<i>[select name]</i>				
<b>12</b>	<b>Type(s) of WBIF contribution</b>	INV <input checked="" type="checkbox"/> TA <input type="checkbox"/>				
<b>13</b>	<b>Total WBIF grant amount (€)</b>	<b>Total amount:</b>	Total amount excl. fees:			
			INV amount:			
			INV fee:			
			TA amount:			
			TA fee:			
<b>14</b>	<b>Responsible beneficiary country authority</b>					
<b>15</b>	<b>Implementing entity(ies)</b>					

**DESCRIPTION OF THE PROJECT AND ACTION**

<b>16</b>	<b>Description of the Project and Action</b>	
	<b>16.1</b>	<b>Background and context of the Project</b>
	<b>16.2</b>	<b>Needs/demand analysis (current and forecast)</b>
	<b>16.3</b>	<b>Main objectives of the Project</b>
<b>16.4</b>	<b>Project map</b>	
<b>16.5</b>	<b>Description of the Project</b>	



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<b>16.6</b>	<b>Description of the Action</b>
16.6.1	Investment component
16.6.2	TA component (as applicable)
<b>16.7</b>	<b>Reference documents</b>

<b>Indicative project status and planning</b>				
<b>Activity</b>	<b>Duration</b>		<b>Status</b>	<b>Comments</b>
	<b>Start date (MM/YYYY)</b>	<b>Completion date (MM/YYYY)</b>		
Masterplan, other relevant spatial planning document(s)			[select status]	
Definition of the investment project			[select status]	
Strategic Environmental Assessment (if applicable)			[select status]	
Pre-feasibility Study			[select status]	
Conceptual Design			[select status]	
<b>17</b> Feasibility Study (including Cost-Benefit Analysis)			[select status]	
Environmental and Social Impact Assessment			[select status]	
Preliminary Design			[select status]	
Land ownership			[select status]	
Detailed Design			[select status]	
Construction and other statutory permits			[select status]	
Loan(s) negotiation and signing			[select status]	
Preparation of tender dossier(s)			[select status]	



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	Procurement for works (per phase/component)			[select status]	
	Construction works			[select status]	

<b>18</b>	<b>Coherence with the WBIF objectives, EU policies, adopted national/sectoral strategies</b>	
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<b>19</b>	<b>Consultations before submission:</b> <ul style="list-style-type: none"> <li>• NIC</li> <li>• IFIs</li> <li>• EU Delegation(s)</li> <li>• Donors</li> <li>• Regional/international organisations</li> <li>• Other stakeholders</li> </ul>	
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<b>20</b>	<b>Institutional framework of the Project</b>	
	20.1	Description of entities involved
	20.2	Organisational set-up

<b>Project budget and financing plan</b>					
<b>Indicative total project budget (cost breakdown)</b>					
	<b>Cost component number</b>	<b>Cost component description</b>	<b>Total costs (€) (A)</b>	<b>Non-eligible costs (€)<sup>(a)</sup> (B)</b>	<b>Eligible costs (€)<sup>(a)</sup> (C)=(A)-(B)</b>
<b>21</b>	1	Planning/design (permits) fees			
	2	Land purchase			
	3	Technical assistance for project preparation (e.g. masterplan, pre-feasibility study, feasibility study, ESIA, detailed design, tender documents, procurement procedures)			
	4	Technical assistance for project implementation (e.g.			



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	supervision of works, project management)				
5	Works (Building and construction) <sup>(b)</sup>				
6	Supply (Plant and machinery) <sup>(b)</sup>				
7	Communication and visibility <sup>(c)</sup>				
8	Evaluation and audit <sup>(d)</sup>				
9	Contingencies <sup>(e)</sup>				
10	Other (e.g. project design review/verification by review committee) <sup>(f)</sup>				
<b>Total project cost</b>					
<b>Financing plan</b>					
<b>Source of funds</b>		<b>Cost component financed</b>	<b>Amount (€)</b>	<b>% / total</b>	<b>Remarks (i.e. Code/ Ref. of financing)</b>
National contribution					
IFI Loan 1					
IFI Loan 2					
Grant amount requested excluding implementation fee(s) <sup>(g)</sup>					
Other WBIF grants <sup>(h)</sup>					
Other grants <sup>(h)</sup>					
Other sources <sup>(h)</sup>					
...					
<b>Total financing available</b>					

<sup>(a)</sup> Eligible and non-eligible cost categories listed in the guidelines.

<sup>(b)</sup> Excluding contingencies. The costs for works and supplies must be broken down by project component or phase if the project has more than one component or phase.

<sup>(c)</sup> Should reflect only measures and actions accompanying the Project as part of the communication and visibility plan annexed to the application.

<sup>(d)</sup> Costs for statutory audit and evaluation, which that fall with the Beneficiary under the national legislation or with the Lead IFI as part of their own due diligence and control for managing the Project. These costs are not eligible for WBIF grant support.

<sup>(e)</sup> Should be taken from the technical documentation developed for the Project and not exceed 10% of the investment cost (i.e. works and supplies).

<sup>(f)</sup> Costs that cannot be included under the cost components 1-9 should be listed here, e.g. project design review/check/verification by the revision committee. Technical review, check and verification of project design(s) as per the national legislation and other activities specific to urban planning and/or land ownership (e.g. preparation of urban plans, documentation for land expropriation, etc.) fall under the Beneficiary's responsibility for due diligence and control for project management. These costs are not eligible for WBIF grant support.

<sup>(g)</sup> Must be identical to the 'Grant amount requested' (i.e. grant amount without implementation fees) from section 23 - Calculation of the WBIF investment grant.



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*(h) Other WBIF grants must be listed as separate entries (i.e. in separate rows) by the grant code. Grants from other donors and funds from other sources must be presented as separate entries (i.e. in separate rows) by source.*

<b>22</b>	<b>Fiscal space and debt sustainability</b>	
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<b>Calculation of the WBIF investment grant</b>				
	<b>Cost component</b>	<b>TOTAL ELIGIBLE COSTS (€) (A)</b>	<b>CO-FINANCING RATE (%) (B)</b>	
<b>23</b>	(1) Works and supplies including contingencies <sup>(a)</sup>		<i>Project specific co-financing rate<sup>0</sup>%</i>	
	(2) Technical assistance <sup>(b)</sup>		<i>Up to 100%</i>	
	(3) Communication and visibility <sup>(c)</sup>		<i>Up to 100%</i>	
	<b>Grant amount requested</b>	<i>SUM(1:3)</i>		<i>SUM(1:3)</i>
	(4) Implementation fee for the INV component of this application <sup>(d)</sup>			<i>2% of (1)</i>
	(5) Implementation fee for the TA component of this application <sup>(e)</sup>			<i>4% of SUM(2:3)</i>
	<b>TOTAL WBIF GRANT AMOUNT</b>			<i>SUM(1:5)</i>

<sup>(a)</sup> May include eligible costs for works (building and construction), supplies (plant and machinery) and contingencies from section 21 - Project budget and financing plan. The costs for contingencies should be taken from the technical documentation developed for the Project and not exceeding 10% of total investment cost (i.e. works and supplies).

<sup>(b)</sup> May include eligible costs for technical assistance for project preparation (detailed design if applicable or missing) and implementation (supervision of works, project management) from section 21 - Project budget and financing plan.

<sup>(c)</sup> Costs for communication and visibility measures and actions accompanying the Project as part of the communication and visibility plan annexed to the application and included in section from section 21 - Project budget and financing plan.

<sup>(d)</sup> 2% of the maximum co-financing amount for works and supplies including contingencies.

<sup>(e)</sup> 4% of the maximum co-financing amount for technical assistance and communication and visibility.

<b>24</b>	<b>WBIF grant amount justification</b>	
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<b>25</b>		<b>Additionality of WBIF grant</b>
	Economic and financial	
	Project scale	
	Project timing	



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	Project quality and standards	
	Innovation	
	Sustainability	
	Other benefits	

26	<b>Financial leverage of the Project</b>	
	Investment leverage ratio	
	Total eligible IFI leverage ratio	
	Private loans/equity leverage ratio (if applicable)	

<b>Expected results</b>					
Indicator	Units	Baseline value	Expected value after the project	Expected result	Comments
		(X) <i>[indicate year]</i>	(Y) <i>[indicate year]</i>	(=Y-X)	
<b>Standard Outputs</b> <i>[copy all applicable indicators for the relevant sector from Annex IV to the Guidelines]</i>					
- Output Indicator 1					
- Output Indicator 2					
- ...					
<b>Standard Outcomes</b> <i>[copy all applicable indicators for the relevant sector from Annex IV to the Guidelines]</i>					
27 - Outcome Indicator 1					
- Outcome Indicator 2					
- ...					
<b>Project specific indicators</b> <i>[introduce other indicators applicable to the Project]</i>					
- Project Specific indicator 1					
- Project Specific Indicator 2					
- ...					
<b>Cross sector indicator</b>					
Total number of beneficiaries	#				
Direct employment: Construction	# (FTE)				
Direct employment: Operation and maintenance	# (FTE)				
Women in decision-making positions	No. (%)				



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	<b>Expected impact</b>	<i>[description]</i>
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<b>28</b>	<b>Project sustainability</b>	
	Economic/financial viability	
	Environmental aspects	
	Social aspects	
	Institutional aspects	

	<b>Risk assessment categories</b>	Description	Mitigation	Risk severity		
				H	M	L
<b>29</b>	Political risks					
	Economic risks					
	Financial risks					
	Socio-economic, equality/gender, minority/vulnerable groups risks					
	Environmental risks					
	Implementation risks					
	Operation risks					
	Other risks					

<b>30</b>	<b>Climate mitigation and adaptation aspects</b>	<i>[description]</i>			
		<i>Mitigation</i>		<i>Adaptation</i>	
	Rio Markers	Project [M€]	WBIF co-financing grant	Project [M€]	WBIF co-financing grant
	RM0 (no objectives)		<input type="checkbox"/>		<input type="checkbox"/>
	RM1 (significant objective)		<input type="checkbox"/>		<input type="checkbox"/>
	RM2 (the principal objective)		<input type="checkbox"/>		<input type="checkbox"/>

	<b>Indicative calendar of the Action</b>	<i>[description]</i>			
<b>31</b>	<b>Type of contract</b>	<b>Procurement</b>		<b>Implementation</b>	
		<b>Expected date of publishing tender [Q/YYYY]</b>	<b>Expected date of signature of contract [Q/YYYY]</b>	<b>Expected start date [Q/YYYY]</b>	<b>Expected completion date [Q/YYYY]</b>



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	Technical assistance for project management <i>[if applicable]</i>				
	Technical assistance for supervision of construction				
	Works contract				
	Supply contract <i>[if applicable]</i>				
	Communication and visibility				

<b>32</b>	<b>Monitoring, reporting and evaluation</b>	
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<b>33</b>	<b>Communication and visibility</b>	
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<b>34</b>	<b>Issues to be clarified before WBIF grant approval</b>	
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	<b>Contacts</b>	<b>Contact person</b>	<b>Function</b>	<b>Phone</b>	<b>Email</b>
<b>35</b>	Lead Financial Institution				
	Beneficiary country authority				
	EU Delegation				
	Co-financier(s)				
	Private partner(s)				

<b>36</b>	<b>Date of submission by the NIPAC</b>		<b>NIPAC Details</b>	
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## ***GRANT APPLICATION FORM for investment grants (INV GAF)***

### **Annex 1: Key requirements checklist**

#### **Main requirements:**

	<b>Yes</b>	<b>No</b>
1. Operations covered by the WBIF benefit one of the following Beneficiaries: Albania, Bosnia and Herzegovina, Kosovo*, Montenegro, North Macedonia, and Serbia.		
2. The project belongs to one of the following eligible sectors: Sustainable Transport   Clean Energy/Energy Efficiency   Environment/Climate Change   Digital   Social.		
3. The INV GAF is submitted by the NIPAC and is endorsed by all relevant stakeholders.		
4. The project is listed in the SPP.		
5. All the elements of the project activities funded by the WBIF grant are in principle eligible for grant financing.		
6. The project is consistent with the Economic and Investment Plan for the Western Balkans, EU Pre-Accession Strategy, Economic Reform Programme, core directive, relevant sector policies and strategies, national investment plans.		
7. The activities do not duplicate or overlap with other operations.		
8. The Lead IFI supports this application and has been consulted during the preparation of the INV GAF.		
9. The WBIF grant requested as well as its calculation and justification are clearly explained.		
10. The technical and financial status of the Project demonstrates that the it fulfils the criteria on maturity.		
11. The information provided in each section of the GAF is consistent and coherent throughout the application.		
12. All the sections of the INV GAF are filled in the information requested therein.		
13. All relevant stakeholders have been consulted during the preparation of the INV GAF.		
14. The description of the institutional framework clearly demonstrates the capacity of the beneficiary to define the investment and implement the Project.		
15. The values of the result indicators reflect the expected outputs and outcomes of the Project.		
16. The risks associated with the Project have been defined and appropriate mitigation measures have been identified.		
17. Indicative project, action and procurement calendars are proposed, and the Lead IFI has been consulted in their preparation.		
18. The Lead IFI has been consulted on the contribution of the Project to climate change mitigation/adaptation and resilience.		

\* This designation is without prejudice to positions on status and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.



## ***GRANT APPLICATION FORM for investment grants (INV GAF)***

### **Annex 2: Communication and visibility plan template**

A - Objectives

B- Communication Activities

C- Resources

#### **A- Objectives**

##### **1. Overall communication objectives**

##### **2. Target groups**

- Within the country(s) where the action is implemented;
- Within the EU/WBIF bilateral donor countries (as applicable).

##### **3. Specific objectives for each target group, related to the action's objectives and the phases of the project cycle**

Examples of communication objectives:

- *ensure that the beneficiary population is aware of the roles of the Organisation, the EU and the WBIF in the action;*
- *raise awareness among the host country population or in Europe of the roles of the Organisation, the EU and the WBIF in delivering aid in a particular context;*
- *raise awareness of how the EU, the WBIF and the Organisation work together to support education, health, environment, etc.*

#### **B- Communication Activities**

##### **4. Main activities that will take place during the period covered by the communication and visibility plan**

Include details of:

- the nature of the activities;
- the responsibilities for delivering the activities.

##### **5. Communication tools chosen**

- Include details of advantages of particular tools (media, advertising, events, etc.) in the local context. Explain how visibility measures will reach target group(s).

##### **6. Completion of the communication objectives**

- Include measures for the different tools proposed.

##### **7. Provisions for feedback (when applicable)**

- Give details of assessment forms or other means used to get feedback on the activity from participants.

#### **C- Resources**

##### **8. Human Resources**

- Person/days required to implement the communication activities;
- Members of the management team responsible for communication activities.

##### **9. Financial resources**

- Budget required to implement the communication activities (in absolute figures and as a percentage of the overall budget for the action).

*Note: [The WBIF Communication and Visibility Guidelines](#) should be consulted in the preparation of the plan.*



## ***GRANT APPLICATION FORM for investment grants (INV GAF)***

### **ASSESSMENT**

#### **RESULT OF THE SCREENING PHASE**

	To be filled by the Commission/ task manager after screening	
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#### **RESULT OF THE ASSESSMENT PHASE**

	To be filled by the Lead IFI after the assessment process	
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#### **RECOMMENDATIONS OF THE WBIF PROJECT FINANCIERS GROUP**

	To be filled by the WBIF Secretariat after PFG meeting	
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#### **FINAL ENDORSEMENT BY THE LEAD IFI**

	To be filled by the Lead IFI before the application is recommended for approval, confirming the readiness of the Action for submission to the WBIF Operational Board and EWBJF Assesmbly of Contributors	
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#### **DECISION ON THE APPROVAL OF THE GRANT**

	To be filled by the WBIF Secretariat, specifying WBIF decision on approval, relevant comments on the substance of the grant, and conditions on approval.	
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**PAYMENT OF THE GRANT FROM THE JOINT FUND**

**SIGNATORY OF THE LEAD IFI**

To be filled by the Lead IFI and specifying Name, Title and Date, and the terms for the transfer of the grant by the EBRD in accordance with Art 5.03 of the General Conditions of the EWBJF.				
	Grant amount approved by WBIF			Insert the final grant amount approved by WBIF.
	Start date of grant activities			
	Final date for contracting			Insert the date by which all legal commitments between (i) the Lead IFI and contractors or (ii) between the Lead IFI and the relevant Grant beneficiary, as applicable, will be entered into.
	Final date of operational implementation of the Action [as per COM annual Financing Decision]			Insert the completion date of all activities financed by the grant funds.
	Payment schedule	Payment	Amount EUR	Date (month/year)
1 <sup>st</sup> payment				
2 <sup>nd</sup> payment				
3 <sup>rd</sup> payment				
	...			



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**APPROVAL**

**APPROVAL BY THE WBIF OPERATIONAL BOARD AND BY EWBIF ASSEMBLY OF  
CONTRIBUTORS**

	Signature of the Chair of the Operational Board and Assembly of Contributors - the European Commission
Name	
Date	
Signature	



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**AMENDMENTS TO THE APPROVED INV GAF**

Addendum / Written Procedure / Notification	Description of amendment	Rationale for the change



***GRANT APPLICATION FORM  
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**Annex 3: Reporting template**

*[under revision]*